

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 70009590-0015

Assist. Commissioner for Patents
BOX PATENT APPLICATION - FEES
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Girish V. Shah

For (title): NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR
PRODUCING SAME

1. Type of Application

This new application is for a(n)

- ☒ Original
- ☐ Design
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s)

- ☒ The new application being transmitted claims the benefit of prior U.S. provision application(s),
Serial No. 60/074,809 filed February 17, 1998.

CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 16, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM 004 538 563 US addressed to the: Assist. Commissioner for Patents, BOX PATENT APPLICATIONS - FEES, Washington, D.C. 20231

Lori Dunham

(Type or print name of person mailing paper)

(Signature of person mailing paper)

3. Papers Enclosed Which are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

28 Pages of specification
2 Pages of claims
1 Pages of Abstract
21 Sheets of drawing (Figures 1-19)
☐ formal
☒ informal
☒ in triplicate

4. Additional papers enclosed

☐ Preliminary Amendment
☐ Information Disclosure Statement
☐ Form PTO-1449
☐ Citations
☐ Declaration of Biological Deposit
☐ Special Comments
☐ Other

5. Declaration of oath

☐ Enclosed
executed by (check all applicable boxes)
☒ inventor(s)
☐ legal representative of inventor(s) 37 CFR §1.42 or 1.43
☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached.
☒ Not Enclosed. Will be submitted under separate cover.

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same or
- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☐ is submitted
- ☐ will be submitted.

7. Language

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation. 37 CFR §1.52(d).

8. Assignment

- ☒ An assignment of the invention to UNIVERSITY OF KANSAS MEDICAL CENTER
- ☐ is attached
- ☐ will follow
- ☒ filed in prior application at Reel/Frame 9002/0202, recordation date February 17, 1998.

9. Certified Copy

Certified copy(ies) of the application(s):

_____	_____	_____
(Country)	(Appln. No.)	(Filed)
_____	_____	_____
(Country)	(Appln. No.)	(Filed)
_____	_____	_____
(Country)	(Appln. No.)	(Filed)

from which priority is claimed

- ☐ is(are) attached
- ☐ will follow.

10. Fee Calculation**A. ☒ Regular application**

CLAIMS AS FILED						
Number Filed		Number Extra		Rate		Basic Fee \$760.00
Total Claims	5 - 20=	0	X	\$ 18.00		\$ 0.00
Independent Claims	1 - 3=	0	X	\$ 78.00		\$ 0.00
Multiple dependent claim(s), if any		0		\$260.00		\$ 0.00

- ☐ Amendment cancelling extra claims enclosed
☐ Amendment deleting multiple dependencies enclosed
☐ Fee for extra claims is not being paid at this time

Filing Fee Calculation \$760.00

B. ☐ Design application
(\$330.00 - 37 CFR §1.16(f))

Filing Fee Calculation \$

11. Small Entity Statement(s)

- ☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR §1.9 and 1.27 is(are) attached. (Copy of Verified Statement filed in provisional application, Serial No. 60/074,809 filed February 17, 1998.)
- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR §1.9 and 1.27 will follow.

Filing Fee Calculation \$380.00

12. Fee Payment Being Made At this Time

- ☐ Not Enclosed
- ☐ No filing fee is to be paid at this time.
- ☒ Enclosed
- | | | |
|-------------------------------------|---|-----------------|
| <input checked="" type="checkbox"/> | basic filing fee | \$380.00 |
| <input type="checkbox"/> | recording assignment (\$40.00; 37 CFR §1.21(h)(1)) | \$ 0.00 |
| <input type="checkbox"/> | petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR §1.47 and 1.17(h)) | \$ 0.00 |
| <input type="checkbox"/> | for processing an application with a specification in a non-English language. (\$130.00; 37 CFR §1.52(d) and 1.17(k)) | \$ 0.00 |
| <input type="checkbox"/> | processing and retention fee (\$130.00; 37 CFR §1.53(d) and 1.21(l)) | \$ 0.00 |
| Total fees enclosed | | \$380.00 |

13. Method of Payment of Fees

- ☐ check in the amount of \$ _____
- ☒ charge Account No. 19-3140 in the amount of \$ 380.00. A duplicate of this transmittal is attached.

14. Authorization to Charge Additional Fees

- ☐ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. _____:
- ☐ 37 CFR §1.16 (filing fees)
 - ☐ 37 CFR §1.16 (presentation of extra claims)
 - ☐ 37 CFR §1.16(e) (surcharge for the filing of the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ☐ 37 CFR §1.17 (application processing fees)
 - ☐ 37 CFR §1.18 (issue fee at or before mailing of Notice of Allowance, 37 CFR §1.311(b)).
- ☒ Please charge any deficiency in the fees enclosed herewith to Deposit Account No. 19-3140. Two duplicate copies of this transmittal letter are enclosed.

15. Instructions As to Overpayment

- ☐ credit Account No. _____
☒ refund by check.

Reg. No. 31,872



SIGNATURE OF ATTORNEY

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☐ **Incorporation by reference of added pages**

- ☐ Plus Added Pages For New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added _____

- ☐ Plus Added Pages For Papers Referred To In Item 4 Above

Number of pages added _____

☒ **Statement Where No Further Pages Added**

- ☒ This transmittal ends with this page.